

REPORT ON CORPORATE GOVERNANCE 2024
(year)

To: - The State Securities Commission;
- The Vietnam Stock Exchange;
- Hanoi Stock Exchange;
- Ho Chi Minh Stock Exchange.

- Name of company: APG Securities Joint Stock Company.
- Address of headoffice: 5th floor, Grand Building, 32 Hoa Ma Street, Pham Dinh Ho Ward, Hai Ba Trung District, Hanoi.
- Telephone: (84-24) 3941 0277 Fax: (84-24) 3941 0323 Email: info@apsi.vn
- Charter capital: 2,236,219,420,000 VND (Two trillion, two hundred thirty-six billion, two hundred nineteen million, four hundred twenty thousand dong).
- Stock symbol: **APG**
- Governance model:
- + General Meeting of Shareholders, Board of Directors, General Director and Audit Committee under the Board of Directors.
- The implementation of internal audit: Implemented.

I. Activities of the General Meeting of Shareholders

Information on meetings, resolutions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders approved in the form of written comments):

No.	Resolution No.	Date	Content
1	1108/2024/NQ-ĐHĐCĐ/APG	11/08/2024	The 2024 Annual General Meeting of shareholders approved the following items: <ul style="list-style-type: none">- The 2023 Board of Directors' report, the company's development strategy for 2024-2029, and the 2024 operating plan;- The General Director's report on the 2023 business results, the business development plan for 2024-2029, and the 2024 business plan;- The 2023 Audit Committee report and its 2024 operational direction;



No.	Resolution No.	Date	Content
			<ul style="list-style-type: none"> - The audited 2023 financial statement (FS) and Capital Adequacy Ratio (CAR) report by TTP Auditing Company Limited; - The audited equity reports; - The 2023 Board of Directors' remuneration and the 2024 projected remuneration; - The selection of the auditing/review firm for the 2024 FS and CAR report; - The results of the 2023 capital increases; - The plan for a rights issue of shares to existing shareholders; - The plan for a private placement of shares to professional securities investors; - Amendments and additions to the Articles of Association; - The withdrawals of the written shareholder consent solicitation; - A report on certain investment activities and authorization for the Board of Directors to continue searching for, selecting, and implementing the relocation of the headquarters; - A proposal to delegate authority to the Board of Directors to approve the Board of Directors' operating regulations and the internal corporate governance regulations after review, amendment and supplementation. - The dismissal of Board of Director members; - The number of Board of Directors for the remainder of the 2022-2026 term is seven (07) members; - The regulations for the supplementary election of Board of Directors members for the remainder of 2022-2026 term;

No.	Resolution No.	Date	Content
			<ul style="list-style-type: none"> - The list of candidates for supplementary election to the Board of Directors for the remainder of the 2022-2026 term; - The results of the supplementary election of Board of Directors members for the remainder of the 2022-2026 term.

II. Board of Directors (Annual report):

1. Information about the members of the Board of Directors:

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
1	Mr.Nguyen Ho Hung	Chairman	09/04/2022	
2	Mr.Huynh Minh Tuan	Vice Chairman	11/08/2024	
3	Mr.Tran Thien Ha	Member/CEO	09/04/2022	
4	Mr. Nguyen Anh Dung	Member/Chairman of the Audit Committee	09/04/2022	11/08/2024
5	Mr. Vo Qui Lam	Non-executive member	09/04/2022	
6	Mr.Le Manh Hung	Member	09/04/2022	11/08/2024
7	Mr.Huynh Duc Hung	Independent member/Chairman of the Audit Committee	11/08/2024	
8	Mr.Le Dinh Chi Linh	Independent member	11/08/2024	

No.	Board of Directors' members	Position (Independent members of the Board of Directors, Non-executive members of the Board of Directors)	The date becoming/ceasing to be the member of the Board of Directors	
			Date of appointment	Date of dismissal
9	Mr. Le Binh Phuong	Member	11/08/2024	

2. Meetings of the Board of Directors:

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate (%)	Reasons for absence
1	Mr.Nguyen Ho Hung	17/17	100	
2	Mr.Tran Thien Ha	17/17	100	
3	Mr.Nguyen Anh Dung	10/17	58,82	Dismissed pursuant to the Resolution of the 2024 Annual General Meeting of Shareholders dated 11/08/2024
4	Mr. Vo Qui Lam	17/17	100	
5	Mr.Le Manh Hung	10/17	58,82	Dismissed pursuant to the Resolution of the 2024 Annual General Meeting of Shareholders dated 11/08/2024
6	Mr.Huynh Duc Hung	7/17	41,18	Appointed pursuant to the Resolution of the 2024 Annual General Meeting of Shareholders dated 11/08/2024

No.	Board of Director' member	Number of meetings attended by Board of Directors	Attendance rate (%)	Reasons for absence
7	Mr.Le Dinh Chi Linh	7/17	41,18	Appointed pursuant to the Resolution of the 2024 Annual General Meeting of Shareholders dated 11/08/2024
8	Mr.Le Binh Phuong	7/17	41,18	Appointed pursuant to the Resolution of the 2024 Annual General Meeting of Shareholders dated 11/08/2024
9	Mr.Huynh Minh Tuan	7/17	41,18	Appointed pursuant to the Resolution of the 2024 Annual General Meeting of Shareholders dated 11/08/2024

3. Supervising the Board of Management by the Board of Directors:

In 2024, the General Director directed the implementation of tasks in compliance with the Company's Charter, resolutions of the General Meeting of Shareholders (GMS) and the Board of Directors (BOD) in accordance with their functions and duties, as follows:

- Successfully organized the 2024 Annual General Meeting of Shareholders.
- Implemented the business plans approved by the 2024 Annual General Meeting of Shareholders and the Board of Directors.
- Continued to strengthen risk management activities.
- Regarding personnel and salary:
 - + Focused on developing human resources in key operational areas and recruiting senior personnel aligned with the company's business orientation.
 - + Reviewed and established an effective, competitive salary and bonus policy to attract talent, foster employee engagement with the company, enhance productivity, and contribute to improved business performance.

The Board of Directors holds weekly meetings with the General Director and certain other management personnel to maintain stable and close interaction between the BOD and the General Director. The General Director ensures business operations are conducted in accordance with corporate governance standards, aligning with both Vietnamese and international best practices, while always prioritizing the Company's interests and shareholder benefits.

On a quarterly basis, the General Director is responsible for reporting business performance, the progress of BOD resolutions, and challenges encountered during management. Through timely and accurate reports from the General Director, the BOD promptly issues resolutions to adjust and supplement strategies to align with practical conditions.

4. Activities of the Board of Directors' subcommittees (If any): None.

5. Resolutions of the Board of Directors (Annual report):

No.	Resolution No.	Date	Content	Approval rate
1	22.03-01/2024/ NQ/HĐQT- APG	22/03/2024	Approving the real estate investment plan for business premises of APG	100%
2	22.03- 02/2024/NQ /HĐQT-APG	22/03/2024	Approving the extension of the time for holding the 2024 Annual General Meeting of Shareholders	100%
3	0405/2024/NQ/ HĐQT-APG	04/05/2024	Approving the policy to invest in shares of unlisted companies	100%
4	0605- 01/2024/NQ/ HĐQT-APG	06/05/2024	Approving the results of the private placement of shares	100%
5	1005-01/2024/ NQ/HĐQT- APG	10/05/2024	Approving the registration for an increase in charter capital and the issuance of the amended Charter on Organization and Operation of APG	100%
6	1305-01/2024/ NQ/HĐQT- APG	13/05/2024	Approving the plan to hold the 2024 Annual General Meeting of Shareholders ("AGM").	100%
7	0406- 01/2024/NQ/ HĐQT-APG	04/06/2024	Approving the liquidation of the contract signed with Vietnam Petroleum Construction Real Estate Joint Stock Company	100%

No.	Resolution No.	Date	Content	Approval rate
8	3105/2024/NQ/HĐQT-APG	31/05/2024	Approving the adjustment of the Deposit Agreement and related matters	100%
9	0107-01/2024/NQ/HĐQT-APG	01/07/2024	Approving the plan to hold the second 2024 Annual General Meeting of Shareholders ("AGM")	100%
10	0107-02/2024/NQ/HĐQT-APG	01/07/2024	Approving the policy to change the location of APG Securities Joint Stock Company – Ho Chi Minh City Branch.	100%
11	1108-01/2024/NQ/HĐQT-APG	11/08/2024	Approving the assignment of duties within the Board of Directors of APG Securities Joint Stock Company (Term 2022–2026)	100%
12	2608-01/2024/NQ/HĐQT-APG	26/08/2024	Approving the adjustment and issuance of the Operating Regulations of the Board of Directors.	100%
13	1509-01/2024/NQ/HĐQT-APG	15/9/2024	Approving the policy to borrow capital for additional business funding	100%
14	0512/2024/NQ/HĐQT-APG	05/12/2024	Approving the closure of the Ho Chi Minh City Branch and the Transaction Office at 132 Mai Hac De	100%
15	2712-01/2024/NQ/HĐQT-APG	27/12/2024	Approving the change of the head office address	100%
16	2712-02/2024/NQ/HĐQT-APG	27/12/2024	Approving the policy to borrow capital from foreign companies/investment funds	100%
17	2712-03/2024/NQ/HĐQT-APG	27/12/2024	Approving the plan to handle trading accounts, contracts, agreements, and notices related to securities transactions signed with customers	100%

III. Audit Committee (Annual report):

1. Information about members of Audit Committee:

No.	Members of Audit Committee	Position	The date becoming to be the member of Audit Committee	The date ceasing to be the member of Audit Committee	Qualification
1	Mr. Nguyen Anh Dung	Chairman of the Audit Committee	21/09/2021	11/08/2024	Master
2	Mr. Vo Qui Lam	Member	21/09/2021		Bachelor
3	Mr. Huynh Duc Hung	Chairman of the Audit Member	11/08/2024		Bachelor

2. Meetings of Board of Audit Committee:

No.	Members of Audit Committee	Number of meetings attended	Attendance rate	Voting rate	Reasons for absence
1	Mr. Nguyen Anh Dung	3/5	60%	100%	Dismissed pursuant to the Resolution of the 2024 Annual General Meeting of Shareholders dated 11/08/2024
2	Mr. Vo Qui Lam	5/5	100%	100%	
3	Mr. Huynh Duc Hung	2/5	40%	100%	Appointed pursuant to the Resolution of the 2024 Annual General Meeting of Shareholders dated 11/08/2024

3. Supervising Board of Directors, Board of Management and shareholders by Audit Committee:

- Examine compliance with current laws, the Company's Charter, and the Corporate Governance Regulations, including but not limited to compliance with legal obligations related to taxes, mandatory insurance, and other financial obligations;

- Inspect adherence to the Company's policies, regulations, and procedures;
- Assess the adequacy and effectiveness of the Company's internal control system in preventing, detecting, and promptly addressing risks;
- The Audit Committee reported to the General Meeting of Shareholders on the 2023 performance results and the 2024 action plan of the Audit Committee;
- Oversee the Company's business activities and financial position during the period;
- Engage with the independent auditing organization to clarify arising issues and risks identified during the independent audit process, propose solutions for resolution, and mitigate risks;
- Evaluate the effectiveness of the internal governance system through a review of reports from the internal audit, internal control, and risk management departments.

4. The coordination among the Audit Committee, the Board of Management, Board of Directors and other managers:

The Audit Committee has received cooperation and support through the provision of complete documents and information related to the Company's corporate governance, business activities, and financial status.

5. Other activities of the Board of Supervisors and Audit Committee (if any): None.

IV. Board of Management

No.	Members of Board of Management	Position	Date of birth	Qualification	Date of appointment / dismissal of members of the Board of Management /
1	Mr. Tran Thien Ha	CEO	26/05/1971	Master	Date of appointment: 01/09/2007

V. Chief Accountant

Name	Date of birth	Qualification	Date of appointment/ dismissal
Mr. Nguyen Thanh Nghi	13/02/1975	Bachelor	Date of appointment: 01/10/2021

VI. Training courses on corporate governance:

Training courses on corporate governance were involved by members of Board of Directors, the Board of Supervisors, General Director, other managers and secretaries in accordance with regulations on corporate governance: None.

VII. The list of affiliated persons of the public company (Annual report) and transactions of affiliated persons of the Company)

- 1. The list of affiliated persons of the Company:** According to attached Appendix I.
- 2. Transactions between the Company and its affiliated persons or between the Company and its major shareholders, internal persons and affiliated persons:** None.
- 3. Transaction between internal persons of the Company, affiliated persons of internal persons and the Company's subsidiaries in which the Company takes controlling power:** None.

4. Transactions between the Company and other objects

- 4.1. Transactions between the Company and the company that its members of Board of Management, the Board of Supervisors, General Director have been founding members or members of Board of Directors, or CEOs in three (03) latest years (calculated at the time of reporting): None.
- 4.2. Transactions between the Company and the company that its affiliated persons with members of Board of Directors, Board of Supervisors, General Director and other managers as a member of Board of Directors, General Director: None.
- 4.3. Other transactions of the Company (if any) may bring material or non- material benefits for members of Board of Directors, members of the Board of Supervisors, General Director and other managers: None.
- 4.4. Total income (including salary, remuneration, etc.) received in the fiscal year 2024 (from January 1, 2024, to December 31, 2024): According to attached Appendix IA.

VIII. Share transactions of internal persons and their affiliated persons (Annual report)

- 1. The list of internal persons and their affiliated persons:** According to attached Appendix II.
- 2. Transactions of internal persons and affiliated persons with shares of the company:** According to attached Appendix III.

IX. Other significant issues

On May 14th, 2024 the State Securities Commission has granted the License to adjust the license for establishment and operation of securities company No. 31/GPĐC-UBCK, recording the charter capital of the Company is VND VND 2,236,219,420,000.

On July 30, 2024, the Business Registration Office – Hanoi Department of Planning and Investment issued the Enterprise Registration Certificate with registration number 0102525951, initially registered on November 15, 2007, and amended for the 13th time on July 30, 2024, recording the Company's new charter capital of VND 2,236,219,420,000.

Recipients:

- As above;
- Archived: the office.

APG SECURITIES JOINT STOCK COMPANY
MEMBER OF BOD/CEO/LEGAL REPRESENTATIVE 



APPENDIX I: THE LIST OF AFFILIATED PERSONS OF THE COMPANY AT 31/12/2024

No.	Name of organizations /persons	Securities trading account (if any)	Position at the company (if any)	*NSH No., date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
1	Mr. Nguyen Ho Hung		Chairman of BOD			May 2013	-	According to the 2013 General Meeting of Shareholders Resolution.	Internal person
2	Mr. Tran Thien Ha		CEO/ Member of BOD			September 2007	-	According to the BOD' Resolution & Establishment License No. 63/GP-UBCK	Internal person
3	Mr. Le Manh Hung		Member of BOD			9/4/2022	11/8/2024	Dismissed per 2024 AGM Resolution.	Internal person
4	Mr. Nguyen Anh Dung		Member of the BOD – Chairman of the Audit Committee			May 2013	11/8/2024	Dismissed per 2024 AGM Resolution.	Internal person
5	Mr. Vo Qui Lam		Member of the BOD – Member of the Audit Committee;			May 2019	-	According to the 2019 AGM Resolution.	Internal person

No.	Name of organizations /persons	Securities trading account (if any)	Position at the company (if any)	*NSH No., date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
6	Mrs. Nguyen Thi Phuong		Head of Internal Audit Committee			25/12/2023	16/10/2024	Resignation letter for the position of Head of Internal Audit Committee submitted on 16/10/2024	Internal person
7	Mr. Huynh Minh Tuan		Vice Chairman of the BOD			11/8/2024	-	Appointed per 2024 AGM Resolution	Internal person
8	Mr. Le Binh Phuong		Member of the BOD			11/8/2024	-	Appointed per 2024 AGM Resolution	Internal person
9	Mr. Huynh Duc Hung		Independent Member of the BOD/Chairman of the Audit Committee			11/8/2024	-	Appointed per 2024 AGM Resolution.	Internal person
10	Mr. Le Dinh Chi Linh		Independent Member of the BOD			11/8/2024	-	Appointed per 2024 AGM Resolution.	Internal person
11	Mr. Nguyen Thanh Nghi		Chief Accountant/ Authorized Person for Public			Chief Accountant since 26/09/2011; Authorized	-	Appointed per BoD Resolution.	Internal person

No.	Name of organizations /persons	Securities trading account (if any)	Position at the company (if any)	*NSH No., date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
			Information Disclosure			Person for Public Information Disclosure since 01/06/2022			
12	Ms. Nguyen Thi Quynh Nga		Head of Corporate Governance/ Board Secretary			1/11/2022	-	Appointed per BOD Resolution.	Internal person
13	An Truong An JSC		No			31/10/2022	21/03/2024		Mr. Vo Qui Lam is the CEO.
14	Lam Dong Pharmaceutical JSC		No				10/4/2024		Mr. Nguyen Ho Hung and Mr. Vo Qui Lam are Members of the BOD.
						20/12/2023			Ms. Nguyen Thi Quynh Nga is a Member of the Supervisory Board.
15	Vietnam Scented Wood		No			22/12/2023	-		Mr. Nguyen Ho Hung is

No.	Name of organizations /persons	Securities trading account (if any)	Position at the company (if any)	*NSH No., date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
	Group Joint Stock Company								the Chairman of the BOD
16	Digicash Vietnam Limited Liability Company		No				-		Mr. Vo Qui Lam is the Director.
17	IDG Capital Blockchain Vietnam Investment and Consulting Joint Stock Company		No				-		Mr. Vo Qui Lam is the Chief Financial Officer.
18	FIDT Investment Consulting and Asset Management Joint Stock Company		No			11/8/2024	-		Mr. Huynh Minh Tuan is the Chairman of the BOD.
19	Mirae Asset Securities (Vietnam) Joint Stock Company		No			11/8/2024	-		Mr. Huynh Minh Tuan is the Director of the Head Office.

No.	Name of organizations /persons	Securities trading account (if any)	Position at the company (if any)	*NSH No., date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons	Relationship with the Company
20	Phuong Thanh Cong Investment Joint Stock Company		No				-		Mr. Le Binh Phuong is the CEO.

*Note: *NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).*

**APPENDIX IA: TOTAL INCOME (INCLUDING SALARY, REMUNERATION, ETC.)
RECEIVED IN THE FISCAL YEAR 2024
(FROM JANUARY 1, 2024, TO DECEMBER 31, 2024)**

No.	Name	Title	Total income (VND)
1	Mr. Nguyen Ho Hung	Chairman of the Board of Directors	361.500.000
2	Mr. Tran Thien Ha	CEO/Member of the Board of Directors	1.347.000.000
3	Mr. Nguyen Anh Dung	Member of the Board of Directors (dismissed on August 11, 2024)	180.000.000
4	Mr. Vo Qui Lam	Member of the Board of Directors	75.000.000
5	Mr. Le Manh Hung	Member of the Board of Directors (dismissed on August 11, 2024)	30.000.000

APPENDIX II: THE LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS AT 31/12/2024

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
1	Mr. Nguyen Ho Hung		Chairman of BOD			6.783.803	3,0336	
1.1	. Nguyen Ho Phuong		No			0	0	Father
1.2	Nguyen Thi Loi		No			0	0	Mother
1.3	Nguyen Ngoc Lan		No			0	0	Child
1.4	Nguyen Ho Phi Hao		No			0	0	Child
1.5	Nguyen Ho Tam Huy		No			0	0	Minor child (without ID card).
1.6	Nguyen Ho Tuan Kiet		No			0	0	Child
1.7	Lam Dong Pharmaceutical JSC		No			0	0	Mr. Hung is a Member of the BOD, with effect from his removal on April 10, 2024

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
1.8	Vietnam Scented Wood Group Joint Stock Company		No			0	0	Mr. Hung is the Chairman of the BOD
2	Mr. Tran Thien Ha		CEO/ Member of BOD			340.000	0,1520	
2.1	Tran Thanh Hue		No			0	0	Father
2.2	Trinh Thi Kim Thanh		No			0	0	Mother
2.3	Vo Ngoc Trien							Father-in-law (deceased)
2.4	Vu Thi Nhung		No			0	0	Mother-in-law (deceased)
2.5	Vu Le Thuy		No			0	0	Wife
2.6	Tran Anna		No			0	0	Child
2.7	Tran Ha Linh		No			0	0	Child
3	Mr. Le Manh Hung		Member of BOD			0	0	
3.1	Le Van Chung							Father (deceased)

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
3.2	Tran Thi Thien		No			0	0	Mother
3.3	Trinh Van Quang		No			0	0	Father-in-law
3.4	Le Thi Quy		No			0	0	Mother-in-law
3.5	Le Minh Hieu		No			0	0	Younger brother
3.6	Trinh Thi Kim Hoa		No			0	0	Wife
3.7	Le Bao Khoa		No			0	0	Child
3.8	Le Bao Khanh		No			0	0	Child
4	Mr. Vo Qui Lam		Member of the BOD – Member of the Audit Committee			890.100	0,3980	
4.1	Vo Dinh Hao		No			0	0	Father (deceased)
4.2	Nguyen Thi Quy		No			0	0	Mother
4.3	Tran Thi Nhu Huong							Wife (deceased)
4.4	Tran Quoc Khanh		No			0	0	Father-in-law

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
4.5	Vo Hoai Trung		No			0	0	Brother
4.6	Nguyen Thi Doan Trang		No			0	0	Sister-in-law
4.7	Vo Hoai Son		No			0	0	Brother
4.8	Vo Thai Duong		No			0	0	Child (still small, no ID card yet)
4.9	Vo Binh Minh		No			0	0	Child (still small, no ID card yet)
4.10	An Truong An JSC		No			0	0	Mr. Vo Qui Lam is the CEO and the Legal Representative, dismissed from his duties on March 21, 2024.
4.11	Lam Dong Pharmaceutical JSC		No			0	0	Mr. Lam is a Board Member, dismissed

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
								from his duties on April 10, 2024.
4.12	Digicash Vietnam Limited Liability Company		No			0	0	Mr. Lam is the Director.
4.13	IDG Capital Blockchain Vietnam Investment and Consulting Joint Stock Company		No			0	0	Mr. Lam is the Chief Financial Officer.
5	Mr. Nguyen Anh Dung		Member of the BOD – Chairman of the Audit Committee			321.874	0,1439	
5.1	Nguyen Van Dien							Father (deceased)
5.2	Le Thi Song		No			0	0	Mother
5.2	Nguyen Huy Hung		No			0	0	Younger brother

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
5.4	Nguyen Viet Thang		No			0	0	Younger brother
5.5	Nguyen Hong Phuong		No			0	0	Younger sister
5.6	Nguyen Trong Duc		No			0	0	Child
5.7	Nguyen Minh Ngoc		No			0	0	Child (minor, no ID card yet)
5.8	Nguyen Vu Chau Anh		No			0	0	Child (minor, no ID card yet)
6	Mrs. Nguyen Thi Phuong		Head of Internal Audit Committee			0	0	
6.1	Nguyen Van Luyen		No			0	0	Father
6.2	Nguyen Thi Lan		No			0	0	Mother-in-law
6.3	Dao Thi Thu Huong		No			0	0	Sister-in-law
6.4	Vuong Ngoc Nguyen		No			0	0	Wife

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
6.5	Vuong Ngoc Phuong Thao		No			0	0	Child
6.6	Vuong Huu Thong		No			0	0	Child (not old enough to be issued an ID card)
7	Mr. Nguyen Thanh Nghi		Chief Accountant/ Authorized Person for Public Information Disclosure			421.697	0,1886	
7.1	Nguyen Xuan Ngu		No			0	0	Father
7.2	Le Thi Viet		No			0	0	Mother
7.3	Nguyen Huu Phu		No			0	0	Brother-in-law
7.4	Cao Thi Nhat		No			0	0	Mother-in-law
7.5	Nguyen Xuan Luc		No			0	0	Sister
7.6	Nguyen Thi Hao		No			0	0	Sister-in-law

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
7.7	Nguyen Thi Phuong		No			0	0	Brother
7.8	Nguyen Thi Quyen		No			0	0	Wife
7.9	Nguyen Tuan Ngoc		No			0	0	Child
7.10	Nguyen Thi Ngoc Ha		No			0	0	Child
7.11	Nguyen Huong Giang		No			0	0	Child (not old enough to be issued an ID card)
8	Ms. Nguyen Thi Quynh Nga		Head of Corporate Governance/ Board Secretary			10.000	0,0045	
8.1	Nguyen Van Hoan							Brother (deceased)
8.2	Bui Thi Sang		No			0	0	Mother
8.3	Nguyễn Quốc Tuấn		No			0	0	Brother

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
	Nguyen Quoc Tuan							
8.4	Nguyen Thanh Tung		No			0	0	Brother
8.5	Nguyen Thi Dieu Thuy		No			0	0	Older sister
8.6	Nguyen Thi Nguyet		No			0	0	Sister-in-law
8.7	Nguyen Tuan Hai		No			0	0	Brother-in-law
8.8	Lam Dong Pharmaceutical JSC		No			0	0	Ms. Nguyen Thi Quynh Nga is a Member of the Supervisory Board.
9	Mr. Huynh Duc Hung		Independent Member of the BOD/Chairman of the Audit Committee			0	0	

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
9.1	Huynh Thi Minh Tram		No			0	0	Wife
9.2	Huynh Duc Thuan		No			0	0	Child
9.3	Huynh Hoang Yen		No			0	0	Child
9.4	Huynh Hoa		No			0	0	Brother
9.5	Nguyen Cong Binh		No			0	0	Brother-in-law
9.6	Huynh Thi Hue		No			0	0	Older sister
9.7	Huynh Duc Thang		No			0	0	Brother
9.8	Nguyen Thi Ngoc Thuy		No			0	0	Sister-in-law
9.9	Phan Thi Tuyet Nga		No			0	0	Sister-in-law
10	Mr. Huynh Minh Tuan		Vice Chairman of the BOD			0	0	
10.1	Huynh Ha		No			0	0	Father

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
10.2	Le Thi Huyen Nga		No			0	0	Father
10.3	Huynh Minh Tu		No			0	0	Child
10.4	Huynh Minh Anh		No			0	0	Child
10.5	Huynh Minh Trung		No			0	0	Brother
10.6	Vo Thi Thuy Trang		No			0	0	Sister-in-law
10.7	FIDT Investment Consulting and Asset Management Joint Stock Company		No			0	0	Huynh Minh Tuan is the Chairman of BOD
10.8	Mirae Asset Securities (Vietnam) Joint Stock Company		No			0	0	Mr. Huynh Minh Tuan is the Director of the Head Office.
11	Mr. Le Binh Phuong		Member of the BOD			129.600	0,0580	
11.1	Le Bich		No			0	0	Father

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
11.2	Le Huong Lan		No			0	0	Wife
11.3	Le Duy Ky		No			0	0	Father-in-law
11.4	Ly Thi Bich		No			0	0	Mother-in-law
11.5	Le Minh Anh		No			0	0	Child
11.6	Le Khanh My		No			0	0	Child
11.7	Le Thi Anh Duong		No			0	0	Sister
11.8	Le Thi Nhat Anh		No			0	0	Sister
11.9	Tran Quang Huy		No			0	0	Brother-in-law
11.10	Ly Binh Sam		No			0	0	Brother-in-law
11.11	Phuong Thanh Cong Investment Joint Stock Company		No			0	0	Mr. Le Binh Phuong is the CEO
12	Mr. Le Dinh Chi Linh		Independent Member of the BOD			1.619.900	0,7244	
12.1	Pham Thi Hoang Dieu		No			1.445.000	0,6462	Wife

No.	Name of organization/ individual	Securities trading account (if any)	Position at the Company (if any)	*NSH No. , date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period (%)	Note
12.2	Le Pham Dinh Khoi		No			0	0	Child
12.3	Le Dinh Chuong		No			0	0	Brother
12.4	Nguyen Thi Kim Nhung		No			0	0	Sister-in-law
12.5	Le Thi Minh Phuong		No			0	0	Sister
12.6	Phan Dinh Hai		No			0	0	Brother-in-law
12.7	Le Dinh Luu		No			0	0	Father
12.8	Le Thi Chau		No			0	0	Father
12.9	Le Thi Kim Anh		No			0	0	Sister
12.10	Nguyen Hoang Phuc Vinh		No			0	0	Brother-in-law
12.11	Le Dinh Cuong Quan		No			0	0	Brother

*Note: *NSH No.: ID card No./Passport No. (As for individuals) or Business Registration Certificate No., License on Operations or equivalent legal documents (As for organisations).*

APPENDIX III: TRANSACTIONS OF INTERNAL PERSONS AND AFFILIATED PERSONS WITH SHARES OF THE COMPANY

No	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage (%)	Number of shares	Percentage (%)	
1	Mr. Nguyen Ho Hung	Chairman of the Board of Directors	10.052.270	6,87	6.783.803	3,0336	Decrease in ownership percentage
2	Mr. Tran Thien Ha	Member of the Board of Directors/CEO	1.813.010	1,18	340.000	0,1520	Restructuring of investment portfolio
3	Mr. Vo Qui Lam	Member of the Board of Directors/Member of the Audit Committee	1.211.974	0,79	890.100	0,3980	Decrease in ownership percentage
4	Mr. Nguyen Anh Dung	Member of the BOD/ Chairman of the Audit Committee (dismissed on 11/08/2024)	321.874	0,21	321.874	0,1439	Change in ownership percentage after a private share placement
5	Mr. Le Manh Hung	Member of the Board of Directors (dismissed on 11/08/2024)	292.613	0,19	0	0	Decrease in ownership percentage
6	Mrs. Nguyen Thi Phuong	Head of the Internal Audit Committee (resigned on 16/10/2024)	351.135	0,23	0	0	Decrease in ownership percentage
7	Mr. Nguyen Thanh Nghi	Chief Accountant	380.397	0,25	421.697	0,1886	Decrease in ownership percentage

No	Transaction executor	Relationship with internal persons	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increasing, decreasing (buying, selling, converting, rewarding, etc.)
			Number of shares	Percentage (%)	Number of shares	Percentage (%)	
8	Ms. Nguyen Thi Quynh Nga	Head of Corporate Governance/Board Secretary	236.090	0,15	10.000	0,0045	Decrease in ownership percentage
9	Mr. Le Binh Phuong	Member of the Board of Directors (appointed on 11/08/2024)	0	0	129.600	0,0580	Increase in ownership percentage
10	Mr. Le Dinh Chi Linh	Independent Member of the Board of Directors (appointed on 11/08/2024)	1.289.900	0,84	1.619.900	0,7244	Ownership percentage changed after a private share placement and subsequent share purchases, resulting in a decrease in ownership percentage

